

Diagnostic and prognostic value of circulating microRNAs in heart failure with preserved and reduced ejection fraction

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Abstract

microRNAs (miRNAs) are powerful regulators of post-transcriptional gene expression and play an important role in pathophysiological processes. Circulating miRNAs can be quantified in body liquids and are promising biomarkers in numerous diseases. In cardiovascular disease miRNAs have been proven to be reliable diagnostic biomarkers for different disease entities. In cardiac fibrosis (CF) and heart failure (HF) dysregulated circulating miRNAs have been identified, indicating their promising applicability as diagnostic biomarkers. Some miRNAs were successfully tested in risk stratification of HF implementing their potential use as prognostic biomarkers. In this respect miRNAs might soon be implemented in diagnostic clinical routine. In the young field of miRNA based research advances have been made in identifying miRNAs as potential targets for the treatment of experimental CF and HF. Promising study results suggest their potential future application as therapeutic agents in treatment of cardiovascular disease. This article summarizes the current state of the various aspects of miRNA research in the field of CF and HF with reduced ejection fraction as well as preserved ejection fraction. The review provides an overview of the application of circulating miRNAs as biomarkers in CF and HF and current approaches to therapeutically utilize miRNAs in this field of cardiovascular disease.

Key words: MicroRNA; Heart failure; Cardiac fibrosis; Biomarker; Diagnostic; Prognostic; Heart failure with reduced ejection fraction; Heart failure with preserved ejection fraction

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Core tip: Recent study results suggest microRNAs (miRNAs) as promising biomarkers in the diagnosis of heart failure (HF) with reduced ejection fraction (HFrEF) and with preserve ejection fraction (HFpEF). The therapeutic application of antagomirs and mirmimics in

heart failure is still in its infancy but promising experimental results are reported. This review provides an overview of miRNAs as diagnostic and prognostic biomarkers in HF and gives details on the utilization of miRNAs in the differentiated diagnosis of HFpEF and HFrEF. The manuscript evaluates the therapeutic applicability of miRNAs in HF and thus provides valuable information for researchers dealing with miRNAs in HF.

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INTRODUCTION

The term "microRNA" (miRNA) was established in 1993 when researchers started to study the function of small RNAs^[1]. Lin-4 was the first miRNA described^[2] and after its discovery scientists began to recognize miRNAs' importance as regulators in gene expression. Ever since miRNAs have not only been assessed for their promising regulatory role in various diseases, but also their diagnostic potential in risk prediction as well as their use as circulating biomarkers^[3-5]. Furthermore, promising data have depicted miRNAs as gene specific therapeutic targets in disease modeling^[6].

Numerous studies have analyzed miRNAs with respect to their utilization as disease-specific biomarkers. In cardiovascular disease, miRNAs have successfully been proven to be quantitatively modified in particular disease entities such as myocardial fibrosis and heart failure (HF)^[7-12].

In this article we will review the value of miRNAs in cardiac fibrosis (CF) and HF. We will discuss the current knowledge about their role in two different entities of the disease - HF with reduced ejection fraction (HFrEF) and HF with preserved ejection fraction (HFpEF). In particular, we will provide an overview about the use of miRNAs as diagnostic and prognostic biomarkers in CF and HF as well as potential therapeutic agents.

miRNA: GENERALLY SPEAKING

miRNAs are non-coding RNAs with a length of 19-25 nucleotides^[13,14]. By binding to the 3'-untranslated region of target messenger RNAs (mRNAs), miRNA either initiate translational repression or degradation of mRNAs thereby regulating gene expression at the post-translational stage^[15,16] (Figure 1). Every single miRNA has target sites in hundreds of different genes^[17]. At the same time computational prediction of target mRNAs suggests that more than 60% of all mammalian protein-coding genes are conserved targets of miRNAs^[18]. At the time of writing this review 2588 mature homo

sapiens miRNAs were listed in "miRBase" (mirbase.org).

miRNA quantification showed organ- and cell-specific expression patterns of certain miRNAs^[19] while quantification measures have shown concentration-dependent effects in pathologically altered organs^[20]. *In-vitro* findings suggest groups of miRNAs being specifically up and down-regulated and polymorphisms in the miRNA regulatory pathway - so called miRSNPs - have been found to be associated with different types of disease^[21-24]. miRNAs fulfill several criteria of an ideal biomarker: stability in the circulation, tissue- and pathology-specific regulation as well as high sensitivity and specificity. These characteristics predestine miRNAs as biomarkers. In fact, there is evidence that miRNAs' applicability as circulating biomarkers for certain diseases might even exceed that of protein-based biomarkers^[25,26]. The field of miRNA research has paved the way for the development of new means of biomarker-based risk stratification for cardiovascular events. In this regard promising data have been collected in large-scale prospective clinical studies^[27].

HF

The discovery of miRNAs as promising new biomarkers in cardiovascular disease has ignited great expectations and especially in the field of HF, the last years have witnessed great success. The syndrome of HF ranges among the leading causes of death and morbidity worldwide^[28] with mortality rates of up to 50% in patients with new onset HF^[29]. HF can be classified by the contraction of the left ventricle into HFrEF and HFpEF. While HFrEF is defined by a reduced left ventricular ejection fraction (LVEF), HFpEF describes HF patients with normal or only mildly reduced LVEF (over 50%)^[30]. Approximately half of all HF patients present with preserved LVEF^[31,32] illustrating its clinical importance, while morbidity and mortality are suggested to be equally distributed.

HF, CARDIAC HYPERTROPHY AND FIBROSIS

There are three major causes of HF: hypertensive heart disease, ischemic heart disease and idiopathic dilated cardiomyopathy. Hypertension initiates molecular pathways that lead to increased cardiomyocyte size and protein synthesis as well as augmented sarcomer organization^[33,34]. Persisting hypertrophy is associated with an unfavorable outcome and can result in HF and sudden death^[35,36]. Independently from the underlying pathology failing hearts remodel in regard to extracellular matrix and myocyte size. This leads to augmented hypertrophy and death of cardiomyocytes followed by tissue fibrosis and scarring^[37]. CF results in increased myocardial stiffness affecting systolic as well as diastolic left ventricular function^[37,38]. The initial molecular steps in the development of HF can hardly

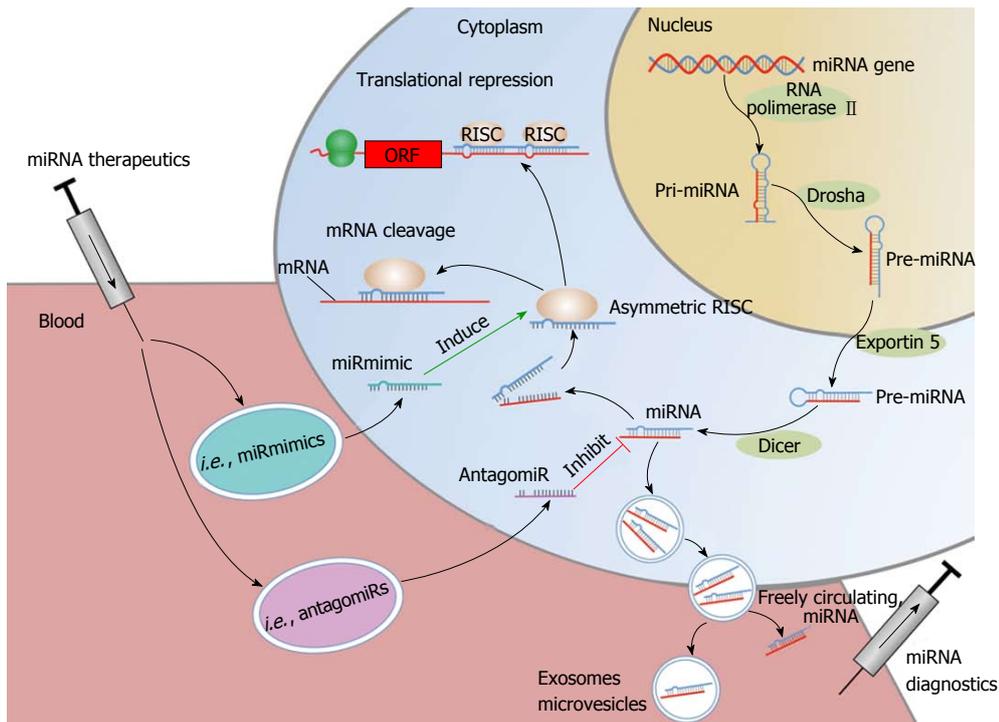


Figure 1 microRNA synthesis and mode of function. Pri-miRNAs are generated in the nucleus by RNA polymerase II. The endonuclease “Drosha” catalyzes the transformation of pri-miRNA into pre-miRNA, which is transported into the cytoplasm by Exportin 5. Subsequently, the mature miRNA is generated by the endonuclease “Dicer”. The mature miRNA is incorporated into the RISC complex; in this form leading to degradation of target mRNAs and/or inhibition of translation. Mature miRNAs can be released into the circulation, incorporated into vesicles such as MVB, exosomes, microvesicles or as freely circulating miRNAs. miRNA therapeutics such as miRmimics and antagomiRs can be administered directly into the blood flow or applied by oral uptake. AntagomiRs specifically bind to and silence endogenous miRNAs, leading to reduced RISC activation and mRNA degradation. miRmimics specifically bind to target mRNAs to increase their degradation. mRNA: Messenger RNA; miRNA: microRNA; ORF: Open reading frame; RISC: RNA-induced silencing complex; MVB: Multivesicular bodies.

be analyzed using imaging techniques and protein biomarkers may be involved at later stages only^[39]. In this respect the diagnosis of HF is most often been made at an advanced stage of the disease when symptoms and physical confinement have already developed. The fact that so-called early HFpEF usually is clinically apparent merely under exercise conditions complicates an early diagnosis^[40-42]. This determines the clinical need for markers identifying the disease at the earliest possible stage.

miRNAs IN CARDIAC HYPERTROPHY AND FIBROSIS

Given that cardiac remodeling and fibrosis are significant factors in the development of ventricular wall stiffness with compromised ventricular contractility and compliance, the expression of miRNAs is directly linked to the development of HF - with preserved and with reduced ejection fraction. miRNAs were identified to play a major role in the transcriptional and translational changes in gene expression with respect to cardiac hypertrophy and fibrosis^[36,43]. The regulatory involvement of miRNAs in the development of cardiac hypertrophy and fibrosis ultimately suggests their causal roll in HF.

In a mouse model of aortic constriction induced

cardiac hypertrophy van Rooij *et al.*^[36] described altered levels of several miRNAs in murine cardiomyocytes while Sayed *et al.*^[44] found a set of more than 50 miRNAs dysregulated in a similar setting with induced hypertrophy. Especially miR-1 was identified as significantly down-regulated compared to sham operated controls, probably mediated *via* an inhibition of the translation of calmodulin-encoding mRNAs^[45]. Similar results were reported by Carè *et al.*^[46]. They reported down-regulated levels of miR-1 and miR-133 in cardiomyocytes of hypertrophic murine as well as human hearts^[46]. Furthermore, in an *in-vitro* model the authors found a causal relationship between adeno-virus induced elevation of miR-1 and miR-133 levels and an inhibition of cardiac hypertrophy. Supporting data were reported from an *in-vitro* study involving neonatal rat cardiomyocytes^[47]. Recently miR-150 has been described as a regulator in cardiac hypertrophy^[48]. In a mouse model the authors induced cardiac hypertrophy by aortic banding and found miR-150 levels down-regulated compared to sham operated animals^[48]. Several more *in-vitro* and mouse model studies reported altered miRNA levels in cardiac hypertrophy on the one hand and induction of cardiac hypertrophy by artificial alterations of specific miRNAs on the other^[49-52]. Especially miR-1^[44,53], miR-21^[54,55], miR-133^[53,54], miR-195^[36,56], miR-208^[57-59] were proven to be involved in the regulation of cardiac hypertrophy. Alterations of miRNA levels were also

reported in induced hypertrophy of cardiomyocytes in engineered heart tissue^[60].

The heart's initial hypertrophic response to volume overload or increased afterload as well as pathological conditions after myocardial infarction (MI) is followed by the process of remodeling which leads to CF. A major regulatory roll of miRNAs in the process of cardiac remodeling and fibrosis was suggested when a key enzymatic step towards miRNA activation catalyzed by the enzyme Dicer was blocked in knock out mice^[61]. The authors found biventricular enlargement, myocyte hypertrophy and pronounced CF^[61]. miRNAs involved in regulatory pathways of hypertrophy such as miR-208 have also been found in cardiac remodeling^[57]. van Rooij *et al.*^[57] found mutant mice overexpressing miR-208 to not develop cardiomyocyte fibrosis despite being exposed to an increased afterload. Opposite, miR-208a has been identified as a regulator of endoglin expression and increases myocardial fibrosis in volume overloaded hearts^[62]. Comparable results were reported in a model of cultured rat myoblasts^[59].

miR-133a was reported to be down-regulated in a mouse model of aortic constriction-induced hypertrophy^[63]. Expression of miR-133a prevented this down-regulation while the authors found less myocardial fibrosis along with improved diastolic function of the analyzed mouse hearts^[63]. Similar results were reported from analyses of CF in canines. Shan *et al.*^[64] described reduced miR-133 and miR-590 levels in canine hearts after nicotine-induced CF mediated by up-regulation of transforming growth factor β (TGF- β) 1 and TGF- β RII. By transfection of miR-133 or miR-590 into cultured atrial fibroblasts it was possible to reduce fibroblast activity as well as collagen production while this effect was reversible by administration of antisense oligonucleotides against miR-133 or miR-590^[64]. Confirming results of reducing CF by miR-133 induction were recently reported in a mouse model of aortic banding-induced hypertrophy^[65]. Besides miR-133 also miR-30 was reported to control pro-fibrotic proteins and thus regulate changes in the extracellular matrix of the myocardium^[66]. The decrease of miR-133 and miR-30 in a gene-modulated rat model of pathological cardiac hypertrophy was found to be linked with an up-regulation of collagen synthesis and CF^[66].

In a transgenic mouse model miR-21 was discovered as a key regulator of signaling pathways in cardiac fibroblasts controlling the extent of cardiac hypertrophy and interstitial fibrosis^[55]. A different working group was able to show that elevated miR-21 expression was highly related to CF^[67]. These findings are in line with the observation that miR-21 is involved in the regulation pathway of cardiac fibroblasts in infarcted mouse hearts^[68]. Cardin *et al.*^[69] were able to suppress atrial fibrosis in miR-21 knock out mice after induced MI. Also, the authors succeeded in depressing post infarction fibrosis by means of anti-miR-21 reduction of miR-21 availability. More recent studies validate these findings of miR-21 promoting cardiac remodeling and

fibrosis^[67,70,71]. On the other hand silencing of miR-21 by means of antagomirs resulted in cardiomyocyte necrosis and apoptosis^[72,73] indicating the integration of this miRNA in cardiac remodeling.

MI is frequently followed by ventricular remodeling processes and fibrotic structural changes in the infarcted areas. This process eventually leads to HF. A miRNA involved in post-MI CF is miR-24. In a mouse model of induced MI Wang *et al.*^[74] reported miR-24 down-regulated and found a simultaneous increase of extracellular matrix remodeling. *In-vivo* lentivirus-based intramyocardial elevation of miR-24 levels caused attenuation of fibrosis in the infarct border zone^[74]. The authors described TGF- β to mediate the miR-24 modulated effect and concluded miR-24 to be a potential target for the treatment of post-MI remodeling.

miR-29 is involved in fibrotic processes in different types of body tissue^[75,76]. It controls a variety of pro-fibrotic genes such as collagens, fibrillins, laminins, integrins and elastin^[77]. Furthermore, miR-29 negatively regulates a number of anti-apoptotic genes, including *Tcl-1*, *Mcl-1*, *YY1*, *p85a*, *CDC42* and *DNMT3*^[77-81]. In a mouse model of induced MI miR-29 was down-regulated^[82]. Further analyses revealed miR-29 being predominantly expressed in cardiac fibroblasts and the *in-vivo* inhibition of miR-29 resulted in an induction of collagen mRNA expression^[82]. Raising miR-29 concentrations using Mirmimics lead to a down-regulation of collagen mRNA expression^[82]. Affirmative results were recently reported by Yang *et al.*^[83]. With respect to these results miR-29 can be attributed a key roll in regulation of tissue fibrosis and CF in particular.

Study results like these gave rise to projects analyzing the roll of miRNAs in manifest HF.

miRNAS IN HF

In the process of HF development different intracellular signaling pathways are activated including an up-regulation of structural fetal genes, such as β -myosin heavy chain (β -MHC) and down-regulation of adult structural genes, such as α -MHC^[84-86]. miRNAs are involved in regulatory processes of activating fetal genes that are known to be up-regulated in failing hearts^[87]. The involvement of miR-208 in α - and β -MHC regulation was reported by van Rooij *et al.*^[57]. *Via* this mechanism miR-208 regulates cardiomyocytes growth under stress conditions as reported by the authors in a transgenic mouse model^[57]. The same working group had previously found a set of miRNAs up-regulated not only in a mouse model of induced hypertrophy but also in failing human hearts^[36]. They found an increased expression of miR-24, miR-125b, miR-195, miR-199a and miR-214 in both mice and human hearts and postulated that these miRNAs are part of a molecular signature of adverse cardiac remodeling^[36].

Besides the development of cardiac hypertrophy and fibrosis as described above a knockout of the key enzyme Dicer, essential for intracellular miRNA

processing, leads to dilatative cardiomyopathy (DCM) and HF^[88]. The authors found reduced Dicer expression in human failing hearts and reported a significant increase of Dicer expression in hearts of patients with improved cardiac function after implantation of left ventricular assist device (LVAD) for HF^[88]. These results depict the importance of miRNAs in the regulation of pathophysiologic processes involved in the development of HF and have lead to considerations of clinical implications of miRNAs dysregulated in cardiomyopathies and HF in particular. Matkovich *et al.*^[89] drove the Dicer-related findings by Chen *et al.*^[88] (mentioned above) a step further and analyzed a miRNA expression profile of cardiac tissue from HF patients with and without LVAD-based recovery compared to healthy controls. The authors found 28 miRNAs up-regulated in failing hearts compared to healthy controls and 20 of these miRNAs returned to near normal levels in the LVAD-treated group with significant improvement of left ventricular performance^[89]. While these results link defined miRNAs to clinically apparent HF and suggest their potential in treatment monitoring, a more distinct analysis of miRNAs in different types of cardiomyopathies was performed by Ikeda *et al.*^[90]. In left ventricular biopsy samples of 67 humans with ischemic cardiomyopathy (ICM), DCM, aortic stenosis and healthy controls they analyzed miRNA expression^[90]. Using a genome-wide miRNA expression profiling they detected 87 miRNAs and found their expression profiles significantly altered in the three heart diseases compared to healthy controls. While seven miRNAs were altered in the same direction in all three disease entities, the global pattern of miRNA expression was distinct in different types of HF^[90]. miR-19 appeared as the most strongly down-regulated miRNA in DCM and AS but not in ICM, while miR-1 was down-regulated in all three diseases. miR-214 - considered pro-hypertrophic^[36] - was most strongly up-regulated. Surprisingly, miR-133 and miR-208 levels, which are associated with myocardial hypertrophy^[46,57-59,63] and fibrosis^[59,62,64], were unchanged. These reported data suggest miRNAs to be specifically dysregulated in different types of HF pathology. In this regard an interesting study was recently reported by Leptidis *et al.*^[91] who performed miRNA deep sequencing analyses in myocardial biopsies of end stage DCM, hypertrophic cardiomyopathy (HCM) and healthy controls to analyze the human heart's miRNOME with respect to these two different HF pathologies. They were able to identify a set of ten miRNAs (miR-23b, miR-30d, miR-125a, miR-143, miR-145, miR-193, miR-197, miR-342, miR-365, miR-455) that is differentially expressed in HCM and DCM compared to healthy controls and had not been linked to HF previously^[91]. The authors were able to confirm previously described dysregulated levels of miR-133a, miR-1, miR-21, miR-214, miR-212, miR-29, miR-129, miR199a in HCM, while miR-119 and miR-214 expression was reported only to be altered in DCM^[91]. miR-145 was identified as a new regulator of pathologic left-ventricular remodeling. Satoh *et al.*^[92] who analyzed

miRNA expression in cardiac tissue from myocardial biopsies of patients with DCM reported higher levels of miR-208, miR-208b and miR-499 than in healthy controls. Follow-up revealed baseline miR-208 levels to be strong predictors of clinical outcome^[92] indicating a potential utilization of miRNAs in risk prediction of HF.

Based on these results, the potential applicability of miRNAs as distinct biomarkers for the diagnosis of HF and for different entities of the disease seems possible. miRNAs seem to be promising biomarkers in risk prediction of HF patients. For obvious reasons, though, the availability of heart tissue is limited and therefore different sources of biomaterial for miRNA analysis are needed. In this respect body fluids appear to present an ideal origin to non-invasively win such biomaterial.

CIRCULATING miRNAS

Besides regulating gene expression and phenotypic control in the cell of origin^[93] and mediating metabolism on an intracellular level^[94] miRNAs are also secreted from the producing cell and capable of transmitting their silencing signals to different cells^[95]. miRNAs have been detected in numerous body fluids such as serum and plasma as well as saliva and urine^[25,26] and can be found in pericardial fluid of HF patients^[96]. Consequently, miRNAs have been tested to function as detectable extracellular messengers in cell-to-cell communication^[97]. Their structure prevents miRNAs from early degradation in circulating blood^[25,98-102] and their ideal biomarker characteristics including size, abundance and tissue specificity suggest circulating miRNAs as blood-based biomarkers for tissue injury^[12,103-105].

CIRCULATING miRNAS IN THE DIAGNOSIS OF HF

The ability to detect and measure miRNAs in a minimal-invasive way has led to their evaluation as potential circulating biomarkers for cardiovascular disease^[3,106]. The promising results of disease-specific cellular miRNA dysregulation in HF and their suitable characteristics with regard to circulating biomarker diagnostics have led to their evaluation as blood based biomarkers in HF. In a rat model of induced left ventricular hypertrophy with consecutive development of HF the authors reported significantly elevated plasma levels of miR-16, miR-20b, miR-93, miR-106b, miR-223 and miR-423-5p^[107]. These results were in line with earlier findings of Tijssen *et al.*^[108] who were amongst the first researchers to evaluate circulating miRNAs as diagnostic biomarkers in HF in a clinical approach. The authors reported that besides 6 miRNAs (miR-18b^a, miR-129-5p, miR-1254, miR-675, oncomir HS_202.1 miR-622), that were moderately elevated in plasma of 30 HF patients compared to 20 dyspnea patients and 20 healthy controls, miR-423-5p was found to be a significant predictor of HF diagnosis in a multivariate logistic regression model^[108]. Despite the

small sample size and suboptimal matching of baseline characteristics^[109] these were promising initial results that were confirmed by Goren *et al.*^[110] in a similar study setup. The authors were one of the first groups to perform a screening of circulating miRNAs on a larger scale in cardiovascular disease and HF. They screened 186 miRNAs in serum of 30 HF patients compared to 30 healthy controls and were able to detect four miRNAs (miR-423-5p, miR-320a, miR-22, miR-92b) that were up-regulated in the serum of HF patients compared to the control group^[110]. Furthermore, the authors succeeded in generating a score out of these miRNAs that discriminates HF patients from healthy controls. The group was able to describe a significant association between the miRNA score and several established prognostic HF parameters such as NT-proBNP, a wide QRS complex and left ventricular (LV) dilatation underlining the significance of these results not only with respect to diagnostic but also to prognostic applicability of circulating miRNAs^[110]. At that time the analyzed combination of miRNAs miR-320 and miR-423-5p had previously been associated with HF^[86,111,112].

Ellis *et al.*^[113] analyzed miRNA plasma levels of 44 HF patients compared to 32 Chronic obstructive pulmonary disease (COPD) patients, 59 patients with breathlessness for other diagnoses and 15 healthy controls after an initial miRNA screening phase. Not only were seven miRNAs (miR-103, miR-142-3p, miR-342-3p, miR-199a, miR-23a, miR-27b, miR-324-5p) associated with the diagnosis of HF in regression and receiver operating characteristics (ROC) analysis, plasma levels of four miRNAs (miR-103, miR-142-3p, miR-30b and miR-342-3p) were able to distinguish between HF and exacerbation of COPD, other causes of dyspnea and controls^[113]. Although miR-423-5p could not be identified as a predictor of HF diagnosis, the addition of miR-423-5p to NT-proBNP significantly improved the area under the operating receiver curve (AUC) for predicting the diagnosis HF^[113]. These findings confirm previous results of the potential applicability of miR-423-5p as circulating biomarker in HF diagnosis.

In a larger clinical trial serum miRNA levels of 81 HF patients were compared to 60 non-HF patients and 15 healthy subjects^[114]. The authors reported a set of 24 miRNAs significantly down-regulated in the HF group compared to controls. miR-26b-5p, miR-145-5p, miR-92a-3p, miR-30e-5p and miR-29a-3p inversely correlated with NT-proBNP and directly correlated with EF, while ROC analysis to predict differentiation of HF patients from non-HF cases revealed strong AUC values between 0.84 and 0.91, suggesting these miRNAs to be potentially strong circulating biomarkers in the diagnosis of HF^[114].

Recently, Wong *et al.*^[115] identified miR-1233, miR-183-3p, miR-190a, miR-193b-3p, miR-193b-5p, miR-211-5p, miR-494 and miR-671-5p to be able to distinguish HF from healthy controls in plasma levels of 60 HF patients and 30 healthy subjects.

Circulating blood cells and endothelial cells contain

higher miRNA concentrations than serum and plasma^[116]. Thus, further approaches in quantification efforts of circulating miRNAs with respect to HF were aimed at analyzing their concentration in circulating blood cells such as peripheral blood mononuclear cells (PBMCs). Gupta *et al.*^[117] analyzed miRNA concentrations in PBMCs of 44 DCM HF patients compared to 48 healthy controls. Real time polymerase chain reaction (RT-PCR) revealed miR-548c and miR-548i significantly down-regulated in PBMCs of DCM patients, while miR-138 was up-regulated in PBMCs of those patients. ROC analysis showed an AUC of 0.85 for miR-548c with respect to its discriminatory power to distinguish DCM from controls^[117].

Frequently, HF consecutively develops after ischemic events such as MI. Corsten *et al.*^[118] analyzed whole blood samples, plasma and urine of 32 acute myocardial infarction (AMI) patients compared to 36 non-AMI controls and reported plasma miR-208b and miR-499 to correlate with cardiac injury markers and, hence, to correlate with myocardial damage. miR-499 was significantly up-regulated in a subgroup of patients with acute HF. Another group that analyzed a predefined set of circulating miRNAs in plasma of 12 post-MI patients compared to 12 healthy controls was able to find levels of miR-1, miR-21, miR-29a, miR-133a and miR-208 altered in the time course after MI^[118]. These miRNAs had previously been described to affect myocardial growth, hypertrophy, fibrosis and viability^[118] implying that the same miRNAs that have been shown to be associated with these pathophysiological processes preceding HF can be found dysregulated in plasma of patients with cardiovascular disease.

Independently from the pathophysiological cause miRNAs have been reported to complement biomarker-based prediction of outcome in HF. In a study including 20 clinically stable and 22 decompensated HF patients as well as 15 healthy controls the authors performed a microarray-based miRNA profiling and reported a large number of miRNAs to be quantitatively dysregulated in HF patients compared to controls^[119]. More importantly, Cox regression identified miR-182 to be able to predict cardiovascular mortality. Remarkably, the prognostic value of miR-182 was identified to be superior to NT-proBNP as well as high-sensitive C-reactive protein by ROC analysis^[119]. Table 1 gives an overview of cellular and circulating miRNAs dysregulated in heart failure.

The above studies suggest blood-based circulating miRNAs as potential strong tools in the diagnosis and risk evaluation of HF. On the other hand, most trials included rather small sample sizes and most identified miRNAs were not confirmed in repetitive studies.

miRNA SIGNATURES IN HF

Combining two or more biomarkers as a defined set for diagnostic purposes can enhance discriminatory power compared to the use of single biomarkers. In the field of miRNA biomarker research the assessment of sets (so-called signatures) of miRNAs might deliver superior

Table 1 Systematic overview of microRNAs dysregulated in heart failure

miRNA	Study type	Bio-material	Group/size	Detection method	Effect	Value as biomarker	Ref.
Single miRNAs							
Let-7	Clinical	Tissue	ICM <i>n</i> = 10 DCM <i>n</i> = 25 AS <i>n</i> = 13 HC <i>n</i> = 10	qRT-PCR	Up-regulated in DCM and ICM	Diagnostic	[90]
miR-1	Clinical	Tissue	ICM <i>n</i> = 10 DCM <i>n</i> = 25 AS <i>n</i> = 13 HC <i>n</i> = 10	qRT-PCR	Down-regulated in DCM	Diagnostic	[90]
miR-15b	Clinical	Tissue	ICM <i>n</i> = 10 DCM <i>n</i> = 25 AS <i>n</i> = 13 HC <i>n</i> = 10	qRT-PCR	Up-regulated in DCM	Diagnostic	[90]
miR-16	Experimental	Plasma	Rats, hypertension-induced HF	qRT-PCR	Up-regulated in HF	Diagnostic	[107]
miR-17-5p	Clinical	Tissue	ICM <i>n</i> = 10 DCM <i>n</i> = 25 AS <i>n</i> = 13 HC <i>n</i> = 10	qRT-PCR	Down-regulated in DCM	Diagnostic	[90]
miR-18b	Clinical	Plasma	HF <i>n</i> = 30 Dyspnea <i>n</i> = 20 HC <i>n</i> = 20	qRT-PCR	Up-regulated in HF	Diagnostic	[108]
miR-20b	Experimental	Plasma	Rats, hypertension-induced HF	qRT-PCR	Up-regulated in HF	Diagnostic	[107]
miR-21 ¹	Clinical	Tissue	LVAD-patients	Micro-array	Up-regulated in HF	Experimental	[89]
miR-22	Clinical	Serum	HFrEF <i>n</i> = 30 HC <i>n</i> = 30	qRT-PCR	Up-regulated in HFrEF	Diagnostic/prognostic	[110]
miR-23a	Clinical	Tissue	LVAD-patients	Micro-array	Up-regulated in HF	Experimental	[89]
miR-24	Experimental	Tissue	Mice, human hearts	Micro-array	Up-regulated in HF, CH	Experimental	[36]
miR-26b-5p	Clinical	Plasma	HF <i>n</i> = 81 HC <i>n</i> = 15	qRT-PCR	Down-regulated	Diagnostic	[114]
miR-28	Clinical	Tissue	ICM <i>n</i> = 10 DCM <i>n</i> = 25 AS <i>n</i> = 13 HC <i>n</i> = 10	qRT-PCR	Down-regulated in DCM	Diagnostic	[90]
miR-29a-3p	Clinical	Plasma	HF <i>n</i> = 81 HC <i>n</i> = 15	qRT-PCR	Down-regulated	Diagnostic	[114]
miR-30b	Clinical	Plasma	HF <i>n</i> = 44 COPD <i>n</i> = 32 Dyspnea <i>n</i> = 59 HC <i>n</i> = 15	RT-PCR	Down-regulated in HF	Diagnostic	[113]
miR-30e-5p	Clinical	Plasma	HF <i>n</i> = 81 HC <i>n</i> = 15	qRT-PCR	Down-regulated	Diagnostic	[114]
miR-92b	Clinical	Serum	HFrEF <i>n</i> = 30 HC <i>n</i> = 30	qRT-PCR	Up-regulated in HFrEF	Diagnostic/prognostic	[110]
miR-92a-3p	Clinical	Plasma	HF <i>n</i> = 81 HC <i>n</i> = 15	qRT-PCR	Down-regulated	Diagnostic	[114]
miR-93	Experimental	Plasma	Rats, hypertension-induced HF	qRT-PCR	Up-regulated in HF	Diagnostic	[107]
miR-103	Clinical	Plasma	HF <i>n</i> = 44 COPD <i>n</i> = 32 Dyspnea <i>n</i> = 59 HC <i>n</i> = 15	RT-PCR	Down-regulated in HF	Diagnostic	[113]
miR-106a	Clinical	Tissue	ICM <i>n</i> = 10 DCM <i>n</i> = 25 AS <i>n</i> = 13 HC <i>n</i> = 10	qRT-PCR	Down-regulated in DCM	Diagnostic	[90]
miR-106b	Experimental	Plasma	Rats, hypertension-induced HF	qRT-PCR	Up-regulated in HF	Diagnostic	[107]
miR-125b	Experimental	Tissue	Mice, human hearts	Micro-array	Up-regulated in HF, CH	Experimental	[36]
miR-126	Clinical	Plasma	HF <i>n</i> = 10 HC <i>n</i> = 17	qRT-PCR	Down-regulated in HF	Diagnostic	[150]
miR-133	Clinical	Tissue	LVAD-patients	Micro-array	Up-regulated in HF	Experimental	[89]
miR-138	Clinical	PBMC	DCM <i>n</i> = 44 HC <i>n</i> = 48	qRT-PCR	Up-regulated in DCM	Diagnostic	[117]
miR-142-3p	Clinical	Plasma	HF <i>n</i> = 44 COPD <i>n</i> = 32	RT-PCR	Down-regulated in HF	Diagnostic	[113]

	Clinical	Plasma	Dyspnea <i>n</i> = 59 HC <i>n</i> = 15 HFpEF <i>n</i> = 8 Stable DCM <i>n</i> = 10 Decompensated DCM <i>n</i> = 13	qRT-PCR	Down-regulated in stable and decompensated DCM	Diagnostic	[121]
miR-145-5p	Clinical	Plasma	HC <i>n</i> = 8 HF <i>n</i> = 81 HC <i>n</i> = 15	qRT-PCR	Down-regulated	Diagnostic	[114]
miR-182	Clinical	Serum	HF <i>n</i> = 42 HC <i>n</i> = 15	Micro-array	Up-regulated in HF	Prognostic	[119]
miR-183-3p ¹	Clinical	Plasma	HF <i>n</i> = 60 (HFpEF <i>n</i> = 30; HFrEF <i>n</i> = 30) HC <i>n</i> = 28	qRT-PCR	Down-regulated in HF	Diagnostic	[115]
miR-190a	Clinical	Plasma	HF <i>n</i> = 60 (HFpEF <i>n</i> = 30; HFrEF <i>n</i> = 30) HC <i>n</i> = 28	qRT-PCR	Down-regulated in HF	Diagnostic	[115]
miR-193b-3p ¹	Clinical	Plasma	HF <i>n</i> = 60 (HFpEF <i>n</i> = 30; HFrEF <i>n</i> = 30) HC <i>n</i> = 28	qRT-PCR	Down-regulated in HF	Diagnostic	[115]
miR-193b-5p ¹	Clinical	Plasma	HF <i>n</i> = 60 (HFpEF <i>n</i> = 30; HFrEF <i>n</i> = 30) HC <i>n</i> = 28	qRT-PCR	Down-regulated in HF	Diagnostic	[115]
miR-195	Experimental Clinical	Tissue Tissue	Mice, human hearts ICM <i>n</i> = 10 DCM <i>n</i> = 25 AS <i>n</i> = 13 HC <i>n</i> = 10	Micro-array qRT-PCR	Up-regulated in HF, CH Down-regulated in DCM and ICM	Experimental Diagnostic	[36] [90]
miR-199a	Experimental Clinical	Tissue Tissue	Mice, human hearts ICM <i>n</i> = 10 DCM <i>n</i> = 25 AS <i>n</i> = 13 HC <i>n</i> = 10	Micro-array qRT-PCR	Up-regulated in HF, CH Down-regulated in DCM and ICM	Experimental Diagnostic	[36] [90]
miR-208	Experimental Clinical	Tissue Tissue	Mice DCM <i>n</i> = 82 HC <i>n</i> = 21	Micro-array qRT-PCR	Up-regulated in HF, CF, CH Up-regulated in DCM	Experimental Diagnostic/ prognostic	[57] [92]
miR-208b	Clinical	Tissue	DCM <i>n</i> = 82 HC <i>n</i> = 21	qRT-PCR	Up-regulated in DCM	Diagnostic	[92]
miR-211-5p ¹	Clinical	Plasma	HF <i>n</i> = 60 (HFpEF <i>n</i> = 30; HFrEF <i>n</i> = 30) HC <i>n</i> = 28	qRT-PCR	Down-regulated in HF	Diagnostic	[115]
miR-214 miR-222	Experimental Clinical	Tissue Tissue	Mice, human hearts ICM <i>n</i> = 10 DCM <i>n</i> = 25 AS <i>n</i> = 13 HC <i>n</i> = 10	Micro-array qRT-PCR	Up-regulated in HF, CH Down-regulated in DCM and ICM	Experimental Diagnostic	[36] [90]
miR-223	Experimental	Plasma	Rats, hypertension- induced HF	qRT-PCR	Up-regulated in HF	Diagnostic	[107]
miR-320a	Clinical	Serum	HFrEF <i>n</i> = 30 HC <i>n</i> = 30	qRT-PCR	Up-regulated in HFrEF	Diagnostic/ prognostic	[110]
miR-342-3p	Clinical	Plasma	HF <i>n</i> = 44 COPD <i>n</i> = 32 Dyspnea <i>n</i> = 59 HC <i>n</i> = 15	RT-PCR	Down-regulated in HF	Diagnostic	[113]
miR-422b	Clinical	Tissue	ICM <i>n</i> = 10 DCM <i>n</i> = 25 AS <i>n</i> = 13 HC <i>n</i> = 10	qRT-PCR	Down-regulated in DCM and ICM	Diagnostic	[90]
miR-423-5p	Experimental	Plasma	Rats, hypertension- induced HF	qRT-PCR	Up-regulated in HF	Diagnostic	[107]
	Clinical	Plasma	HF <i>n</i> = 30 Dyspnea <i>n</i> = 20 HC <i>n</i> = 20	qRT-PCR	Up-regulated in HF	Diagnostic/ prognostic	[108]
	Clinical	Serum	HFrEF <i>n</i> = 30 HC <i>n</i> = 30	qRT-PCR	Up-regulated in HFrEF	Diagnostic/ prognostic	[110]
	Clinical	Plasma	HF <i>n</i> = 44 COPD <i>n</i> = 32 Dyspnea <i>n</i> = 59 HC <i>n</i> = 15	RT-PCR	Down-regulated in HF	Prognostic when combined with NT-proBNP	[113]
miR-494 ¹	Clinical	Plasma	HF <i>n</i> = 60 (HFpEF <i>n</i> = 30; HFrEF <i>n</i> = 30) HC <i>n</i> = 28	qRT-PCR	Down-regulated in HF	Diagnostic	[115]

miR-499	Clinical	Tissue	DCM <i>n</i> = 82 HC <i>n</i> = 21	qRT-PCR	Up-regulated in DCM	Diagnostic	[92]
	Clinical	Plasma	Acute HF <i>n</i> = 33 HC <i>n</i> = 34	qRT-PCR	Up-regulation in acute HF	Diagnostic	[10]
miR-548c	Clinical	PBMC	DCM <i>n</i> = 44 HC <i>n</i> = 48	qRT-PCR	Down-regulated in DCM	Diagnostic	[117]
miR-548i	Clinical	PBMC	DCM <i>n</i> = 44 HC <i>n</i> = 48	qRT-PCR	Down-regulated in DCM	Diagnostic	[117]
miR-671-5p ¹	Clinical	Plasma	HF <i>n</i> = 60 (HFpEF <i>n</i> = 30; HFrEF <i>n</i> = 30) HC <i>n</i> = 28	qRT-PCR	Up-regulated in HF	Diagnostic	[115]
miR-675	Clinical	Plasma	HF <i>n</i> = 30 Dyspnea <i>n</i> = 20 HC <i>n</i> = 20	qRT-PCR	Up-regulated in HF	Diagnostic	[108]
miR-1233 ¹	Clinical	Plasma	HF <i>n</i> = 60 (HFpEF <i>n</i> = 30; HFrEF <i>n</i> = 30) HC <i>n</i> = 28	qRT-PCR	Up-regulated in HF	Diagnostic	[115]
miRNA signatures							
miR-520d-5p	Clinical	Whole blood	HFrEF <i>n</i> = 53 HC <i>n</i> = 39	qRT-PCR	Dysregulated in HF - superior to single miRNAs	Diagnostic	[120]
miR-558							
miR-122 [*]							
miR-200b [*]							
miR-622							
miR-519e [*]							
miR-1231							
miR-1228 [*]							

¹See also Table 2; ^{*}Most microRNAs have two mature products, one derives from the 5' arm of the miRNAs hairpin and the other from the 3' arm of the hairpin; the latter is marked “*”. miRNA: microRNA; HF: Heart failure; CF: Cardiac fibrosis; CH: Cardiac hypertrophy; HC: Healthy control; ICM: Ischemic cardiomyopathy; DCM: Dilated cardiomyopathy; AS: Aortic stenosis; COPD: Chronic obstructive pulmonary disease; MI: Myocardial infarction; HFpEF: Heart failure with preserved ejection fraction; HFrEF: Heart failure with reduced ejection fraction; PBMC: Peripheral blood mononuclear cell; LVAD: Left ventricular assist device; qRT-PCR: Quantitative real time polymerase chain reaction.

results compared with the application of single miRNAs. In order to assess circulating miRNAs as biomarkers for HFrEF, Vogel *et al.*^[120] performed miRNA quantification measures in whole blood samples of 53 HFrEF patients with non-ischemic HF compared to 39 healthy controls. In a two-step screening-validation study the authors found a signature of eight miRNAs (miR-520d-5p, miR-558, miR-122^{*}, miR-200b^{*}, miR-622, miR-519e^{*}, miR-1231 and miR-1228^{*}) which reliably predicted the diagnosis of HFrEF with an AUC of 0.81^[120] (Table 1). Compared to the most powerful single miRNAs miR-558, miR-122^{*}, and miR-520d-5p (AUC between 0.7 and 0.71) this miRNA signature further improved discrimination of HFrEF patients from controls^[120] confirming the idea to improve sensitivity and specificity when utilizing combinations of more than one miRNA.

miRNAs IN THE DIFFERENTIATED DIAGNOSIS OF HF WITH PRESERVED EJECTION FRACTION

Pathophysiologically, HFpEF is the clinical manifestation of LV diastolic dysfunction as a major differentiating factor from HFrEF. Therefore, diastolic dysfunction appears as a useful parameter in the early diagnosis of HFpEF.

Initial results proving the involvement of miR-21 in cardiac remodeling and fibrosis^[55,67-73] (see above) suggested its roll in HFrEF. These findings were taken up by Dong *et al.*^[67] in order to analyze this miRNA with

respect to HFpEF. The authors created a rat model of aortic constriction-induced HFpEF. HFpEF was diagnosed *via* echocardiographic parameters and quantitative RT-PCR (qRT-PCR) analyses showed higher cellular miR-21 levels in HFpEF rats compared to healthy controls^[67]. These results confirm the former pathophysiologic miR-21 findings and indicate their potential to be transferrable to a functional level in HFpEF. In order to assess whether circulating miRNAs as opposed to cellular miRNAs can be utilized as biomarkers in the detection of HFpEF and in a differentiated diagnosis compared to HFrEF, results were published recently by Nair *et al.*^[121]. They analyzed miRNA plasma levels of HF patients with diastolic dysfunction. The authors found miR-454, miR-500 (both down-regulated) and miR-1246 (up-regulated) significantly dysregulated in diastolic dysfunction indicating that circulating miRNAs can serve as biomarkers for diastolic dysfunction^[121]. This suggests itself to further considerations for miRNA-based diagnostics to differentiate HFrEF from HFpEF. Wong *et al.*^[115] performed a miRNA quantification of whole blood and plasma samples in 39 HFrEF and 19 HFpEF patients as well as 28 healthy controls and identified 344 miRNAs dysregulated between the three groups. Of these, 90 serum derived miRNAs were identified that showed high correlation with or an AUC > 0.7 for LVEF. Again a selection of 32 miRNAs with considerably high detection levels was made. These analytical steps allowed for a qualitative selection of promising miRNAs and those, that can easily be detected in serum. Further analyses of these 32 miRNAs in plasma of an

independent cohort of 30 HFrEF and 30 HFpEF patients as well as 30 controls identified 12 miRNAs that could segregate HFrEF and HFpEF from non-HF controls as well as HFrEF from HFpEF^[115]. In detail, miR-125a-5p, miR-183-3p, miR-193b-3p, miR-211-5p, miR-494, miR-638 and miR-671-5p differed significantly between HFrEF and controls while miR-1233, miR-183-3p, miR-190a, miR-193b-3p, miR-193b-5p and miR-545-5p showed significant differences in expression between HFpEF and controls^[115]. miR-125a-5p (up-regulated in HFrEF - normal in HFpEF), miR-190a (down-regulated in HFpEF - normal in HFrEF), miR-550a-5p (directionally opposite expression pattern between HFrEF and HFpEF) and miR-638 (down-regulated in HFrEF - normal in HFpEF) were revealed to distinguish between HFrEF and HFpEF. Clinically relevant, the combinatory use of NT-proBNP with miR-125a-5p improved the AUC value to differentiate HFrEF from HFpEF from 0.83 for NT-proBNP alone to 0.91 for the combinatory use and thus significantly increased NT-proBNP's discriminative diagnostic abilities^[115]. Another aspect that was addressed in this study was the application of panels of miRNAs. The authors reported that miRNA panels had comparable performance to NT-proBNP with respect to the discrimination of HFrEF from HFpEF while single miRNAs tended to perform slightly inferior to NT-proBNP^[115].

In order to identify a miRNA signature helping to differentiate HFpEF from HFrEF Watson *et al.*^[122] performed miRNA quantification analyses in sera of 90 HFpEF patients compared to 90 HFrEF patients and 90 healthy controls. The diagnosis of HFrEF and HFpEF was made echocardiographically. In an initial miRNA screening in serum samples of 15 individuals per group five candidate miRNAs (miR-30c, miR-146a, miR-221, miR-328 and miR-375) were identified as differentially expressed between the three groups and validated in an independent study cohort of 225 individuals^[122]. The authors performed AUC analyses to differentiate HFpEF from HFrEF and reported an equal predictive value of any of the single miRNAs compared with the use of brain natriuretic peptide (BNP)^[122]. Importantly, combinations of two or more of miR-146a, miR-221, miR-328 and miR-375 with BNP significantly improved the predictive power to differentiate HFpEF from HFrEF as compared with BNP alone in the AUC model^[122]. The latter two very recent studies were the first to investigate circulating miRNAs as promising new biomarkers to differentiate HFpEF from HFrEF. They similarly provide evidence that combinatory utilization of miRNAs can improve discriminative power compared to single miRNAs. Critically evaluated, though, there was no overlap in the identified miRNAs in these studies that were able to distinguish between HFrEF and HFpEF. Schmitter *et al.*^[123] discussed potential explanations for these differences. The authors regarded several explanations relevant in this respect. First, methodological variances such as the choice of body liquid, detection methods and the importance to perform microarray screenings prior to

qRT-PCR analyses were identified to be contributors to a lack of comparability. Also, pre-analytical variations like sample storage, degree of hemolysis, extraction efficiency and standardization methods are mentioned as important contributors to a reduced comparability^[123]. The authors furthermore define the need for more large-scale studies with well-defined control- and validation cohorts limiting the influence of different HF etiologies, concomitant diseases, and treatments. Another important factor to be considered when interpreting miRNA quantification results is the influence of confounding medications and classical cardiovascular risk factors^[123].

Taken together the very recent results in miRNA-based diagnostics of HFpEF and HFrEF are highly promising but urgently need verification in large-scale studies with harmonized methods and well-defined study samples.

Table 2 gives an overview of miRNAs in the diagnosis of HFpEF and HFrEF.

miRNAs IN DISEASE TREATMENT

Molecular diagnostics and therapeutics represent an important contributor to improve outcome for HF^[123]. In contrast to traditional treatments, gene therapy is capable of modifying the genetic structure of the cell and can modulate the disease phenotype^[124]. In this respect miRNAs are promising new players in the development of molecular therapeutics in cardiovascular disease and HF in particular. The regulation of selected miRNAs highly involved in cardiac remodeling could be a key factor in influencing the development of HF by controlling hypertrophy and fibrosis.

The concept of miRNA related disease treatment bases on the idea to specifically influence miRNA levels by raising or suppressing miRNA levels. Several different approaches have successfully been tested^[125]. The major method to raise miRNA levels is the miRNA mimic technology (miR-mimic), which operates *via* miRNA substitution by artificially generated double-stranded miRNA-like RNA fragments^[126]. They "mimic" endogenous miRNAs and bind - unlike endogenous miRNAs - gene-specifically to their target mRNA^[126]. On the other hand, so called antagomirs can be used to suppress miRNA levels^[127]. Antagomirs are chemically engineered oligonucleotides that competitively bind to and thus inhibit the mature target miRNA^[127,128]. This mechanism leads to an up-regulation of specific mRNAs and gene expression^[129]. Furthermore, miRNA sponges (also referred to as "target mimicry") are competitive inhibitors that contain binding sites for a miRNA family and thus inactivate miRNAs of that particular family^[130-132]. As opposed to antagomirs, sponges are specific only to the seed region of a miRNA and thus can interfere with a whole family of miRNAs^[133]. Masking (also called "target occupiers") describes a mechanism to prevent specific miRNAs from binding to their very binding site^[134]. Consequently, fewer miRNAs remain to bind

Table 2 microRNAs in the diagnosis and differentiation of heart failure with preserved ejection fraction and heart failure with reduced ejection fraction

miRNA	Study type	Bio-material	Groups/size	Detection method	Effect	Value as biomarker	Ref.
miR-21 ¹	Experimental	Tissue	Mice - HFpEF <i>vs</i> HC	qRT-PCR	Up-regulated in HFpEF	Diagnostic	[67]
miR-30c	Clinical	Serum	HFpEF <i>n</i> = 90 HFrEF <i>n</i> = 90 HC <i>n</i> = 90	qRT-PCR	AUC analyses	Differentiating HFrEF from HFpEF	[122]
miR-125a-5p	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Up-regulated in HFrEF, unchanged in HFpEF	Diagnostic in HFrEF + differentiating HFrEF from HFpEF	[115]
miR-146a	Clinical	Serum	HFpEF <i>n</i> = 90 HFrEF <i>n</i> = 90 HC <i>n</i> = 90	qRT-PCR	AUC analyses	Differentiating HFrEF from HFpEF	[122]
miR-183-3p ¹	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Down-regulated in HFrEF and HFpEF	Diagnostic	[115]
miR-190a [*]	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Down-regulated in HFpEF, unchanged in HFrEF	Diagnostic in HFpEF + differentiating HFrEF from HFpEF	[115]
miR-193b-3p ¹	clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Down-regulated in HFrEF and HFpEF	Diagnostic	[115]
miR-193b-5p ¹	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Down-regulated in HFpEF	Diagnostic	[115]
miR-211-5p ¹	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Down-regulated in HFrEF	Diagnostic	[115]
miR-221	Clinical	Serum	HFpEF <i>n</i> = 90 HFrEF <i>n</i> = 90 HC <i>n</i> = 90	qRT-PCR	AUC analyses	Differentiating HFrEF from HFpEF	[122]
miR-328	Clinical	Serum	HFpEF <i>n</i> = 90 HFrEF <i>n</i> = 90 HC <i>n</i> = 90	qRT-PCR	AUC analyses	Differentiating HFrEF from HFpEF	[122]
miR-375	Clinical	Serum	HFpEF <i>n</i> = 90 HFrEF <i>n</i> = 90 HC <i>n</i> = 90	qRT-PCR	AUC analyses	Differentiating HFrEF from HFpEF	[122]
miR-454	Clinical	Plasma	HFpEF <i>n</i> = 8 Stable DCM <i>n</i> = 10 Decompensated DCM <i>n</i> = 13 HC <i>n</i> = 8	qRT-PCR	Down-regulated in HFpEF	Diagnostic	[121]
miR-494 ¹	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Down-regulated in HFrEF	Diagnostic	[115]
miR-500	Clinical	Plasma	HFpEF <i>n</i> = 8 Stable DCM <i>n</i> = 10 Decompensated DCM <i>n</i> = 13 HC <i>n</i> = 8	qRT-PCR	Down-regulated in HFpEF	Diagnostic	[121]
miR-545-5p	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Up-regulated in HFpEF	Diagnostic	[115]
miR-550a-5p	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30	qRT-PCR	Up-regulated in HFrEF Down-regulation in HFpEF	Differentiating HFrEF from HFpEF	[115]
miR-638	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Down-regulated in HFrEF, unchanged in HFpEF	Diagnostic in HFrEF + differentiating HFrEF from HFpEF	[115]
miR-671-5p ¹	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Up-regulated in HFrEF	Diagnostic	[115]
miR-1233 ¹	Clinical	Plasma	HFpEF <i>n</i> = 30 HFrEF <i>n</i> = 30 HC <i>n</i> = 28	qRT-PCR	Up-regulated in HFpEF	Diagnostic	[115]
miR-1246	Clinical	Plasma	HFpEF <i>n</i> = 8 Stable DCM <i>n</i> = 10	qRT-PCR	Down-regulated in HFpEF	Diagnostic	[121]

Decompensated
DCM *n* = 13
HC *n* = 8

¹See also Table 1. HC: Healthy control; miRNA: microRNAs; DCM: Dilated cardiomyopathy; HFpEF: Heart failure with preserved ejection fraction; HFrEF: Heart failure with reduced ejection fraction; qRT-PCR: Quantitative real time polymerase chain reaction; AUC: Area under the operating receiver curve.

to the target and their effect is lessened. Erasers are oligonucleotides complementary to a specific miRNA. By binding to the miRNA the eraser inhibits its endogenous function^[127].

miRNA therapeutics in HF

Up to now there are no clinical trials published dealing with miRNA therapeutics in humans. Therefore, the following results relate to *in-vitro* studies and animal models. Initial studies in the field of miRNA therapeutics in HF were designed to identify differential regulations of miRNAs in HF. Sucharov *et al.*^[135] extracted miRNAs from 6 nonfailing, 5 idiopathic dilated cardiomyopathy (IDC) and 5 ischemic dilated cardiomyopathy (ISC) patients. The authors were able to find a set of miRNAs dysregulated in both IDC and ISC. In order to further evaluate the function of increased or decreased expression of those miRNAs the group introduced virus-delivered mimimics as well as antagomirs against miR-92, miR-100 and miR-133b into neonatal rat ventricular myocytes and was able to cause dramatic down-regulation or up-regulation of the particular miRNAs^[135]. Pathophysiologically, an up-regulation of miR-100 resulted in repression of adult genes α MyHC and SERCA while fetal genes ANF and β MyHC were up-regulated. These observations suggest the involvement of miR-100 in the specific regulation of gene expression involved in the repression of adult isoforms. The study shows that an artificial dysregulation of miR-100 is able to affect HF associated gene expression.

Raising miRNA levels as a therapeutic approach in HF

An initial project studying miRNA-associated therapeutic aspects in HF with respect to specifically raising miRNA levels was performed by Karakikes *et al.*^[136]. The authors addressed previous findings that proved miR-1 to be a key regulator of cardiac hypertrophy^[44-47,90,137] and analyzed whether the restauration of miR-1 expression has protective effects on maladaptive cardiac remodeling. They established a hypertrophy and ventricular dilatation model in rats by ascending aortic banding before they raised miR-1 expression *in-vivo* by systemically administered adeno-associated virus-mediated gene transfer^[136]. The authors were able to detect improved systolic as well as diastolic LV function in the miR-1 restauration group as measured by echocardiography and catheter-based pressure-volume loop analyses^[136].

A similar approach was pursued by Pan *et al.*^[138] who induced an adenovirus-mediated overexpression of miR-101a in rats with chronic MI and were able to find a significant improvement of cardiac performance in

those subjects treated with miR-101a overexpression. Recently, these results were confirmed in a rat model of induced MI^[139]. The authors found decreased miR-101a levels at the site of the infarction and were able to verify this observation in cultured cardiac fibroblasts exposed to hypoxia and linked this effect to a TGF- β -modulated fibrotic effect. An administration of miR-101a mimimics reduced the expression of TGF- β ^[139] indicating that miR-101a mimicry might negatively regulate fibrosis in ischemic cardiac tissue. These findings point out a potential applicability of mimimics in the field of HF therapy and ignited studies further evaluating this aspect. In a recent study the authors succeeded in modulating myocardial fibrosis and apoptosis in a hypertrophic mouse model by regulating miR-455 levels^[140]. Tail vein injection of viral delivered miR-455 resulted in aggravated cardiac hypertrophy on the one hand but also reduced myocardial fibrosis and inhibited apoptosis suggesting that this treatment can prevent maladaptive ventricular remodeling^[140].

A different approach was addressed by Dakhllallah *et al.*^[141]. The authors used mimimics to raise miR-133a levels in mesenchymal stem cells (MSC) and implanted these into ischemic rat hearts. Compared to non-miR-133a treated MSCs these rat hearts were shown to have increased cardiac function, decreased fibrosis and presented with improved cell engraftment due to better survival of miR-133a treated MSCs^[141]. These study results indicate a potential roll of miRNAs in HF treatment with respect to an improvement of bioengineering of stem cells and are an example of the broad potential applicability of miRNAs in the field of HF treatment.

Lowering miRNA levels as a therapeutic approach in HF treatment

Reduced miR-29 levels were observed to be associated with a decrease of cardiac remodeling in mice^[142]. The authors used an ischemia/reperfusion model in mice to analyze the effect of miR-29 on post-infarction remodeling. They found that an antisense inhibition of miR-29 implemented by an antagomir against miR-29 inhibited post infarction/reperfusion apoptosis and necrosis and led to a reduction of cardiac remodeling^[142]. In a rat model of aortic constriction-induced HFpEF revealing higher cellular miR-21 levels in HFpEF rats compared to sham operated animals^[67] (see above), Dong *et al.*^[67] performed further analyses after administering a miR-21 antagonist. The authors were able to find a reduction of fibrosis in those rats' cardiac tissues that were transfected with anti-miR-21 and attributed this effect to a reduction of Bcl-2 expression - an anti-apoptotic

factor involved in the apoptosis of cardiac fibroblasts^[67]. The same miRNA was analyzed in a transgenic mouse model of cardiac failure. Thum *et al.*^[55] were able to show that *in-vivo* silencing of miR-21 by a systemically applied specific antagomir inhibits interstitial fibrosis by a reduction of mitogen-activated protein kinase activity when applied to pressure-overload-induced cardiac dysfunction in mice.

In order to assess the therapeutic potential of miR-652 in another mouse model with established pathological hypertrophy and cardiac dysfunction due to induced pressure overload Bernardo *et al.*^[143] first proved miR-652 expression to be elevated in pressure overloaded hearts compared to healthy controls. The authors then systemically administered anti-miR-652 and found the expression of miR-652 effectively silenced in heart tissue of treated mice. The authors were able to show that anti-miR-652 treated mice had better cardiac function and improved cardiac diameters compared to controls^[143].

The first discovered miRNA Let-7 recently was found to be a potential therapeutic target in the treatment of deteriorated cardiac function after MI^[144]. After induced MI in mice Let-7 was inhibited with a specific systemically applied antagomir. Molecularly, the expression of pluripotency-associated genes *Oct4* and *Sox2* was increased in cardiac fibroblasts *in vitro* and *in vivo*. Let-7 antagomir treated mice showed preserved LVEF and improved cardiac output compared to controls^[144].

Addressing the hallmark of pathological hypertrophy and HF - the reactivation of fetal cardiac genes, in which miR-208 is highly involved, study results were reported in a model of antagomir-based silencing of miR-208. Montgomery *et al.*^[145] were able to silence miR-208 in a rat model of diastolic HF (Dahl salt-sensitive rats) by means of systemically administered locked nucleic acid-modified antagomirs. On the one hand the authors found pathological myosin switching and cardiac remodeling lessened in antagomir-208 treated animals. More important from a clinical point of view was the observation that in diastolic HF therapeutic silencing of miR-208 resulted in lessened HF symptoms, a reduction of cardiac remodeling and an improved cardiac function as well as longer survival compared to control animals^[145].

Current treatment strategies in HF are predominantly focused on HFrEF and no distinct therapy is established with respect to HFpEF^[146-149]. The current non-specific therapy of HFpEF is limited and requires development and improvement of more distinct diagnostic and therapeutic options. Molecular diagnostics and therapeutics might provide the foundation for differential therapeutic approaches with regards to HFpEF and HFrEF.

CONCLUSION

Numerous studies have proven miRNAs to be key regulators and moderators in the development of HF

and its pathophysiological precursors hypertrophy and fibrosis. Their molecular construction and integration in cellular and intercellular transport mechanisms define miRNAs as ideal circulating biomarkers for diagnostic and prognostic purposes while they can easily be collected and analyzed. Therefore, the application of miRNAs as circulating biomarkers represents a promising tool to complement established protein-based biomarkers of HF such as NPs on the one hand or novel stand-alone biomarkers in the diagnosis and prognosis of HF. In the differential diagnostics of HFrEF and HFpEF miRNAs can reliably differentiate between these two disease entities, although this has to be confirmed in larger samples. This is especially interesting considering the fact that the diagnosis of HFpEF at an early stage might significantly improve secondary prevention and established biomarkers of HF still lack precision in the differentiated diagnosis of HFpEF. Nevertheless, looking at the large number of studies only few of them confirmed previous findings with identical results and still different miRNAs are identified to be linked to HF presumably reflecting the complex interaction of miRNAs and their target sites. In this respect analysis of combinations of several miRNAs - miRNA signatures - represent a promising way to increase diagnostic and prognostic accuracy. An important aspect that should get attention when performing miRNA analyses is the comparability and standardization of analytical methods and the need for well-defined study samples.

Over the past years several different possibilities have been identified to alter levels of circulating miRNAs by systemically administering agents such as mimimics or antagomirs. This therapeutic approach has been reported to significantly reduce hypertrophy and CF and improve LV function in animal models. It represents a promising approach to complement existing therapeutic options in the treatment of HF. Nevertheless, results of *in-vitro* and *in-vivo* models have not yet led to an application in clinical studies. A successful implementation of those insights in clinical trials represents the next step towards realizing this idea.

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